



Approved for use through 3/31/2007. OMB 0551-0021

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TRANSMITTAL LETTER TO THE UNITED STATES ATTTORNEY'S DOCKET NUMBER PBT.P0003 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED April PCT/GB03/01621 2002 14 April 2003 TITLE OF INVENTION ELECTRICALLY CONTROLLED DOOR LOCK APPLICANT(S) FOR DO/EO/US Simon Powell Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. l An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. l A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Transmittal Sheet, return receipt postcard and check

PTO-1390 (Rev. 07-2004)
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|  | IO. (if known, see 37 CFR 1.5  |              |                        | ATTORNEY'S DOCKET NUMBER |                                       |  |
|--|--|--------------|------------------------|--------------------------|---------------------------------------|--|
| 10   | <u> 1511257</u>  | PCT/GB03/016 | 21                     | PBT. P0003               |                                       |  |
| 21. X The following fees are submitted:  |  |              |                        | CALCULATIONS             | PTO USE ONLY                          |  |
| BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):  |  |              |                        |                          |                                       |  |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO                              |  |              |                        |                          |                                       |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00  |  |              |                        |                          |                                       |  |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO   |  |              |                        |                          |                                       |  |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)  |  |              |                        |                          |                                       |  |
| and all claims satisfied   | y examination fee (37 CFR 1 provisions of PCT Article 33( ENTER APPROPRIAT | \$ 950.00    |                        |                          |                                       |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |  |              |                        | \$ 0.00                  |                                       |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXTRA | RATE                   | \$                       |                                       |  |
| Total claims   | 7 - 20 =   | 0            | X \$18.00              | \$ 0.00                  |                                       |  |
| Independent claims   | 0 -3=  | 0            | X \$86.00              | \$ 0.00                  |                                       |  |
| MULTIPLE DEPENDE   | NT CLAIM(S) (if applicable)  |              | + \$290.00             | \$ 0.00                  |                                       |  |
|  |  | \$ 950.00    |                        |                          |                                       |  |
| Applicant claims by 1/2.   | small entity status. See 37 CF   | \$ 475.00    |                        |                          |                                       |  |
|  |  | \$ 475.00    |                        |                          |                                       |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |  |              |                        | \$ 0.00                  |                                       |  |
| TOTAL NATIONAL FEE =   |  |              |                        | \$ 475.00                |                                       |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 0.00   |  |              |                        |                          |                                       |  |
|  |  | \$ 475.00    |                        |                          |                                       |  |
|  |  |              |                        | Amount to be refunded:   | \$                                    |  |
|  |  |              |                        | Amount to be charged:    | \$                                    |  |
| a. X A check in the amount of \$ 475.00 to cover the above fees is enclosed.   |  |              |                        |                          |                                       |  |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.   |  |              |                        |                          |                                       |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0987. A duplicate copy of this sheet is enclosed.                          |  |              |                        |                          |                                       |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |              |                        |                          |                                       |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |  |              |                        |                          |                                       |  |
| SEND ALL CORRESPONDENCE TO:  |  |              |                        |                          |                                       |  |
| EDWARD G. GREIVE, ESQ. Caval G. GINIZ  |  |              |                        |                          |                                       |  |
| RENNER, KENNER, GREIVE, SIGNATURE  |  |              |                        |                          |                                       |  |
| BOBAK, TAYLOR & WEBER  Edward G. Greive  |  |              |                        |                          | <u> </u>                              |  |
| Okron Ohio 44809 1456  |  |              |                        |                          |                                       |  |
| AN   | On, Onio 770001400   |              | 24,726<br>REGISTRATION | N NUMBER                 | · · · · · · · · · · · · · · · · · · · |  |
|  |  |              | REGISTRATIO            | JN NUMBER                |                                       |  |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant(s): SIMON POWELL               | CERTIFICATE OF MAILING VIA EXPRESS MAIL  |
|--|--|
| Int. Application No.: PCT/GB03/01621     | I hereby certify that the following correspondence     was deposited with the United States Postal Service |
| Int. Filing Date: April 14, 2003         | as Express Mail, postage prepaid, in an envel addressed to: Commissioner for Patents                       |
| Title: ELECTRICALLY CONTROLLED DOOR LOCK | P.O. Box 1450  Alexandria, VA 22313-1450  on this 7+1 day of October 2004                                  |
| Priority Date Claimed: April 12, 2002    | Norma o Payerle, Secretary to Edward G. Greive Express Mail Label No. EL726093701US                        |

## TRANSMITTAL SHEET

Enclosed are the following documents:

Transmittal Letter to the United States Designated/Elected Office (DO/EU/US) Concerning a Submission Under 35 U.S.C. § 371 (in duplicate) (2 pages)

Declaration and Power of Attorney (unsigned) (3 pages)

Preliminary Amendment with attached Certificate of Mailing via Express Mail Label No. EL726093701US (4 pages)

Check in the Amount of \$475.00

Return Receipt Postcard

In the event that the enclosed fee is not sufficient, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0987.

Respectfully Submitted,

Edward G. Greive, Reg. No. 24,726

Renner, Kenner, Greive, Bobak, Taylor & Weber

Fourth Floor, First National Tower

Akron, Ohio 44308-1456 Telephone: (330) 376-1242

Attorney for Applicant

October 7, 2004